

UNITED STATES RECEIVING OFFICE

PTO-1382 (Rev. 04-2003) (Modified)

PCTUS2.FRP/REV03

International Application

Attorney Docket No.

P01072WO1A

10/520989

I. Certification under 37 CFR 1.10 (if applicable)

EL726133649US

Express Mail mailing number

15 December 2003

Date of Deposit

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of person mailing correspondence

Kimberly A. Bright

Typed or printed name of person mailing correspondence

II. ☐ New International Application

TITLE PREPARATION OF FUNCTIONALIZED ANIONIC POLYMERIZATION INITIATORS

Earliest priority date (Day/Month/Year)

11 July 2002

SCREENING DISCLOSURE INFORMATION: In order to assist in screening the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (Note: check as many boxes as apply):

- A. ☐ The invention disclosed was not made in the United States.
- B. ☐ There is no prior U.S. application relating to this invention.
- C. ☐ The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: priority to these applications may or may not be claimed on form PCT/RO/101 (Request) and this listing does not constitute a claim for priority).

application no.	60/395,085	filed on	11 July 2002
application no.		filed on	

- D. ☐ The present international application contains additional subject matter not found in the prior U.S. application(s) identified in paragraph C. above. The additional subject matter is found on pages and ☐ DOES NOT ALTER ☐ MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.1. See 37 CFR 5.15.

III. ☒ A Response to an Invitation from the RO/US. The following document(s) is (are) enclosed:

- A. ☐ A Request for An Extension of Time to File a Response
- B. ☒ A Power of Attorney (General or Regular)
- C. ☐ Replacement pages:

pages		of the request (PCT/RO/101)	pages		of the figures
pages		of the description	pages		of the abstract
pages		of the claims			

- D. ☐ Submission of Priority Documents

Priority document		Priority document	
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- E. ☐ Fees as specified on attached Fee Calculation sheet form PCT/RO/101 annex

IV. ☐ A Request for Rectification under PCT 91 ☐ A Petition ☐ A Sequence Listing Diskette

V. ☒ Other (please specify): Return Receipt Postcard

The person signing this form is the:

- ☐ Applicant
- ☒ Attorney/Agent (Reg. No.) 40,139
- ☐ Common Representative

Arthur M. Reginelli

Typed name of signer

Signature

PCT POWER OF ATTORNEY

1/1

Printed on 06.11.2003 09:11:19 AM

P01072WO1A

0-1	PCT Power of Attorney (for an international application filed under the Patent Cooperation Treaty) (PCT Rule 90.4)	
0-1-1	Prepared using	PCT-EASY Version 2.92 (updated 01.07.2003)
1	The undersigned applicant(s)	BRIDGESTONE CORPORATION
1-1-1	hereby appoints (appoint) the following person	VASUTA, John; PALMER, Meredith; KROGH, Timothy Bridgestone Americas Holding, Inc. 1200 Firestone Parkway Akron, OH 44317 United States of America
1-1-2	hereby appoints (appoint) the following person	REGINELLI, Arthur Renner, Kenner, Greive, Bobak, Taylor & Weber 4th Floor First National Tower Akron, OH 44308 United States of America
1-1-3	hereby appoints (appoint) the following person	BOBAK, Donald Renner, Kenner, Greive, Bobak, Taylor & Weber 4th Floor First National Tower Akron, OH 44308 United States of America
1-2	as	agent
1-3	to represent the undersigned before	all the competent International Authorities
1-4	in connection with the international application identified below:	
1-4-1	Title of the invention	PREPARATION OF FUNCTIONALIZED ANIONIC POLYMERIZATION INITIATORS
1-4-2	Applicant's or agent's file reference	P01072WO1A
1-4-3	International application number (if already available)	PCT/US03/21871
1-4-4	filed with the following Office as receiving Office	United States Patent and Trademark Office (USPTO) (RO/US)
1-5	and to make or receive payments on behalf of the undersigned.	
2-1	Signature of applicant	<i>John M. Vasuta</i>
2-1-1	Name	BRIDGESTONE CORPORATION
2-1-2	Name of signatory	John M. Vasuta
2-1-3	Capacity	Chief I.P. Counse/Secretary (Asst.)
3	Date	

PCT POWER OF ATTORNEY

1/2

P01072W01A

0-1	PCT Power of Attorney (for an International application filed under the Patent Cooperation Treaty) (PCT Rule 90.4)	
0-1-1	Prepared using	PCT-EASY Version 2.92 (updated 01.07.2003)
1	The undersigned applicant(s)	ANTKOWIAK, Thomas; RADEMACHER, Christine; RAMIC, Anthony; LAWSON, David
1-1-1	hereby appoints (appoint) the following person	VASUTA, John; PALMER, Meredith; KROGH, Timothy Bridgestone Americas Holding, Inc. 1200 Firestone Parkway Akron, OH 44317 United States of America
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1-4-3	International application number (if already available)	PCT/US03/21871
1-4-4	filed with the following Office as receiving Office	United States Patent and Trademark Office (USPTO) (RO/US)
1-5	and to make or receive payments on behalf of the undersigned.	
2-2	Signature of applicant	
2-2-1	Name	ANTKOWIAK, Thomas
3	Date	11-14-03
2-3	Signature of applicant	<i>Thomas Antkowiak</i>
2-3-1	Name	RADEMACHER, Christine
3	Date	11-14-03
		<i>Christine Rademacher</i>

2-4	Signature of applicant	<i>Anthony J. Ramic</i>
2-4-1	Name	RAMIC, Anthony
3	Date	Nov. 26, 2003
2-5	Signature of applicant	<i>David J. Lawson</i>
2-5-1	Name	LAWSON, David
3	Date	Nov. 14, 2003

1-4-1	Title of the invention	Preparation of Functionalized Anionic Polymerization Initiators
1-4-2	Applicant's or agent's file reference	P01072US2A
1-4-3	International application number (if already available)	
1-4-4	filed with the following Office as receiving Office	United States Patent and Trademark Office (USPTO) Attn: DO/US
1-5	and to make or receive payments on behalf of the undersigned.	

Certificate of Express Mailing

Pursuant to 37 CFR 1.10 I certify that this U.S. National Phase Application is being deposited on the date indicated below with the United States Postal Service "Express Mail Post Office to Addressee" service addressed to: Mail Stop PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

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EL706980132US

Date of Deposit:

1/10/05

Signature of Person Mailing Application

Millie Persin

Millie Persin